

## PATENT COOPERATION TREATY

## PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY  
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>AA 1094</b>	<b>FOR FURTHER ACTION</b> See Form PCT/IPEA/416																									
International application No. <b>PCT/FI2003/000953</b>	International filing date (day/month/year) <b>15.12.2003</b>	Priority date (day/month/year) <b>24.01.2003</b>																								
International Patent Classification (IPC) or national classification and IPC <b>B01D 21/18, B01D 21/04</b>																										
Applicant <b>Finnketju Invest Oy et al</b>																										
<p>1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>3</u> sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p> <p>a. <input checked="" type="checkbox"/> (<i>sent to the applicant and to the International Bureau</i>) a total of <u>13</u> sheets, as follows:</p> <p><input checked="" type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</p> <p><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</p> <p>b. <input type="checkbox"/> (<i>sent to the International Bureau only</i>) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p> <p>4. This report contains indications relating to the following items:</p> <table> <tbody> <tr> <td><input checked="" type="checkbox"/></td> <td>Box No. I</td> <td>Basis of the report</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Box No. II</td> <td>Priority</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Box No. III</td> <td>Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Box No. IV</td> <td>Lack of unity of invention</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>Box No. V</td> <td>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Box No. VI</td> <td>Certain documents cited</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Box No. VII</td> <td>Certain defects in the international application</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Box No. VIII</td> <td>Certain observations on the international application</td> </tr> </tbody> </table>			<input checked="" type="checkbox"/>	Box No. I	Basis of the report	<input type="checkbox"/>	Box No. II	Priority	<input type="checkbox"/>	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	<input type="checkbox"/>	Box No. IV	Lack of unity of invention	<input checked="" type="checkbox"/>	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	<input type="checkbox"/>	Box No. VI	Certain documents cited	<input type="checkbox"/>	Box No. VII	Certain defects in the international application	<input type="checkbox"/>	Box No. VIII	Certain observations on the international application
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Date of submission of the demand <b>05.07.2004</b>	Date of completion of this report <b>26.04.2005</b>
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## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/FI2003/000953

## Box No. I Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

This report is based on a translation from the original language into the following language \_\_\_\_\_, which is the language of a translation furnished for the purposes of:

international search (under Rules 12.3 and 23.1(b))  
 publication of the international application (under Rule 12.4)  
 international preliminary examination (under Rules 55.2 and/or 55.3)

2. With regard to the elements of the international application, this report is based on (replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report):

the international application as originally filed/furnished  
 the description:

pages \_\_\_\_\_ as originally filed/furnished  
 pages\* 1 - 10 received by this Authority on 12.01.2005  
 pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

the claims:

pages \_\_\_\_\_ as originally filed/furnished  
 pages\* \_\_\_\_\_ as amended (together with any statement) under Article 19  
 pages\* 11 - 13 received by this Authority on 12.01.2005  
 pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

the drawings:

pages 1 - 6 as originally filed/furnished  
 pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_  
 pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.

3.  The amendments have resulted in the cancellation of:

the description, pages \_\_\_\_\_  
 the claims, Nos. \_\_\_\_\_  
 the drawings, sheets/figs \_\_\_\_\_  
 the sequence listing (specify): \_\_\_\_\_  
 any table(s) related to the sequence listing (specify): \_\_\_\_\_

4.  This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

the description, pages \_\_\_\_\_  
 the claims, Nos. \_\_\_\_\_  
 the drawings, sheets/figs \_\_\_\_\_  
 the sequence listing (specify): \_\_\_\_\_  
 any table(s) related to the sequence listing (specify): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/FI2003/000953

**Box No. V** Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

## 1. Statement

Novelty (N)	Claims	<u>1-4</u>	YES
	Claims	_____	NO
Inventive step (IS)	Claims	<u>1-4</u>	YES
	Claims	_____	NO
Industrial applicability (IA)	Claims	<u>1-4</u>	YES
	Claims	_____	NO

## 2. Citations and explanations (Rule 70.7)

## Documents cited in the International Search Report:

D1: US 1763412 A  
D2: US 2028094 A  
D3: JP 1123609 A (Abstract)

The cited documents represent the general state of the art.

The invention defined in claims 1-4 is not disclosed by any of these documents.

The cited prior art does not give any indication that would lead a person skilled in the art to the claimed auxiliary scraper arrangement. Therefore, the claimed invention is not obvious to a person skilled in the art.

Accordingly, the invention defined in claims 1-4 is novel and is considered to involve an inventive step. The invention is industrially applicable.

10/537147

Auxiliary scraper arrangement JC17 Rec'd PCT/PTO 02 JUN 2005

The invention relates to an auxiliary scraper arrangement, intended particularly for enhancing a scraping process performed by a scraper bar assembly in a liquid tank, such as in a settling tank or the like, reinforced at least in its bottom portion, such as provided with wall structures having a cross-section which includes one or more sections tapering upwards in a vertical direction, the object in this context being firstly the elimination of supernatant matter in the liquid tank by way of a first discharge arrangement, such as a supernatant sludge launder or the like, and secondly the elimination of bottom matter in the liquid tank by way of a second discharge arrangement, such as a bottom sludge pocket or the like. The scraper bar assembly comprises one or more scraper bars in succession in a longitudinal direction of the liquid tank, which are adapted to be operated by means of drive elements, such as one or more transmission chains or the like driven through the intermediary of a drive wheel and idle wheel assembly or in a like fashion, the scraper bar being engaged in connection therewith.

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In applications as described above, it is conventional to arrange scraper bars to be driven by chains laid along the sides of a tank in such a way that, firstly with regard to the bottom, a material lying on the bottom is scraped thereby into a sludge pocket, and secondly a supernatant material is delivered thereby e.g. into a cross-tank extending collecting launder. In this respect, the scraper bars are designed traditionally as columns, fastenable by a screw connection to chains driving the same and manufactured e.g. in fiberglass. A particular drawback with totally single-piece scraper bars is the inconvenience regarding the installation thereof, which requires

extremely precise and careful installation procedures in order to have the anticipated perforations of scraper bars exactly at correct spots. In practice, this causes often problems, e.g. as a result of irregularities of the bottom of a settling tank and the like reasons, which is why the perforating process generally cannot be performed until *in situ* during installation.

10 International publication WO 98/09892 discloses technical improvements relating to the above-discussed subject matter, in which case the scraper bar assembly makes use of scraper bars, comprising bar members dismountably engageable with each other. Thus, each 15 scraper bar comprises preferably two first bar members engageable with drive chains present on the opposite walls of a liquid tank and at least one second bar member engaged therebetween e.g. on a quick coupling principle. This type of solution provides a multitude 20 of various advantages over traditional solutions, especially with regard to installation, service, and maintenance procedures. Consequently, it is possible to minimize costs incurred particularly by the maintenance of a scraper bar assembly simply by 25 replacing the first bar members included in scraper bars. On the other hand, such a solution enables the use of materials as high quality as possible in manufacturing middle members for scraper bars, which function as actual scrapers, because in practical 30 conditions such members do not often sustain damage in normal operation.

One practical problem, yet without successful 35 solutions as of today, relates particularly to the use of liquid tank constructions employed in regions likely to experience earth quakes. In such conditions, the bottom part of a liquid tank must be reinforced by using for example wall structures tapering cross-

sectionally upwards in vertical direction in one or more sections. Hence, the vertical wall structures of a liquid tank are generally designed e.g. in such a way that, first of all, each intermediate wall is connected to the liquid tank's bottom or floor slab by way of an inclined surface. On the other hand, each intermediate wall can be additionally or instead designed to have an upward tapering cross-section. Such structures enable sufficient bracing for a liquid tank even in demanding conditions. A result of this is, however, that the liquid tank will have a top surface area which is substantially larger than its bottom surface area.

A practical problem in this respect, especially when using currently available scraper bar assemblies, is that, regarding the bottom of a liquid tank, traditional scraper bars are only effective in scraping a totally flat bottom surface of the liquid tank, but not an inclined surface bridging the bottom and the intermediate wall. A further consequence is that, at the surface level of a liquid tank, the scraper bars continuously fail to scrape a matter present along the liquid tank walls over a fairly extensive area, which leads to all sorts of practical problems as it allows supernatant scum or sludge to build up on the walls, resulting in caking and the like.

It is an object of an auxiliary scraper arrangement of the invention to reduce e.g. the above-discussed problems and thereby to raise substantially the existing state of the art. In order to accomplish this object, an auxiliary scraper arrangement of the invention is principally characterized in that actuators for driving an extension from one working position to another are provided with a self-powered mechanism, whereby on the one hand the extension is

connectable at an articulation point (N) pivotally to the scraper bar, and on the other hand the extension has a counterweight coupled therewith on the opposite side of the articulation point by way of a lever arm for operating the extension gravitationally on a leverage principle from one working position to another, firstly for scraping the supernatant sludge present on the wall of the liquid tank while the scraper bar is in a basic position on the surface of the liquid tank, and secondly for scraping the sludge present on an inclined surface at the bottom of the liquid tank while the scraper bar is upside down on the bottom of the liquid tank.

The most important benefits gained by an auxiliary scraper arrangement of the invention include the simplicity and operating reliability of its construction, operation, and working principle, by virtue of which it is possible to significantly reduce its service and maintenance work. Thus, the auxiliary scraper arrangement enables the use of completely self-effected procedures to ensure the optimal operation of a settling tank, such that supernatant scum is not able to build up, especially along the tank's side walls. This is further feasible, preferably e.g. in such a way that the inventive auxiliary scraper arrangement is only mounted e.g. on two scraper bars, the disposition of which is such that, as one is presently at the liquid surface, the other is conducting a bottom scraping process. Therefore, by means of the inventive auxiliary scraper arrangement, it is possible with extremely low costs to enhance remarkably a functionality of the discussed processes while reducing significantly the operating costs thereof as a direct consequence of lessened requirement for process monitoring. Optimal operation for the inventive auxiliary scraper arrangement is ensured in its simplest form by using a self-powered

mechanism as actuators driving an extension from one working position to another, which mechanism, in response to gravity, by using e.g. a counterweight, applies a leverage principle for driving the extension engaged with a presently deployed scraper to its presently required working position. Another benefit gained by an auxiliary scraper arrangement of the invention is that it also enables a further enhancement of the scraping process in a liquid tank of perfectly rectangular cross-section when it is desirable to keep the liquid tank's side walls clean of e.g. micro-organisms and plants, which can be further enhanced by providing an extension included in the auxiliary scraper arrangement additionally with e.g. bristles or the like.

The dependent claims directed to the invention disclose a few preferred embodiments for an auxiliary scraper arrangement of the invention.

The invention will be described in detail in the following specification while reference is made to the accompanying drawings, in which

fig. 1a shows in a side view one typical application site for an auxiliary scraper arrangement of the invention,

fig. 2 shows a cross-section at fig. 2 - fig. 2 in fig. 1,

fig. 3 shows further one typical application site for an auxiliary scraper arrangement of the invention in cross-section,

figs. 4a and 4b show one preferred operating principle for an auxiliary scraper arrangement of the

invention in various working positions of an extension included therein,

figs. 5a and 5b

5 show further a more detailed illustration in an end view for a scraper bar, regarding one preferred embodiment for an auxiliary scraper arrangement of the invention in a basic position (fig. 4a) of the scraper bar and in 10 its upside down position (fig. 4b), and

fig. 6 shows further an operating principle for a 15 type of solution shown in figs. 5a and 5b, in an illustrative side view while a scraper bar is in motion in a liquid tank.

The invention relates to an auxiliary scraper arrangement, intended particularly for enhancing a scraping process performed by a scraper bar assembly 20 in a liquid tank, such as in a settling tank or the like, reinforced at least in its bottom portion, such as provided with wall structures or having a cross-section which includes, as shown in fig. 3, one or, as shown in fig. 2, two sections tapering upwards 25 in a vertical direction  $h$ , the object in this context being firstly the elimination of supernatant matter in the liquid tank by way of a first discharge arrangement  $pk$ , such as a supernatant sludge launder or the like, and secondly the elimination of bottom 30 matter in the liquid tank by way of a second discharge arrangement  $pk$ , such as a bottom sludge pocket or the like. The scraper bar assembly comprises, as shown particularly in fig. 1, one or more scraper bars 1 in succession in a longitudinal direction  $s$  of the liquid 35 tank, which are adapted to be operated by means of drive elements 2, such as one or more transmission chains 2b or the like driven through the intermediary of a drive wheel and idle wheel assembly 2a or in a

like fashion, each scraper bar 1 being engaged in connection therewith. An auxiliary scraper arrangement X comprises one or more extensions X1, provided on one or more scraper bars 1 and adapted to enhance a scraping process by being driven in response to actuators X2, e.g. on a principle shown in figs. 4a/4b and 6, to two or more working positions I, II essentially different from each other relative to the scraper bar 1 during its movement in the liquid tank.

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The inventive auxiliary scraper arrangement comprises, as shown e.g. in figs. 4a and 4b, a substantially elongated extension X1 which is adapted, as shown in fig. 4a, in its first working position I being in a cross-sectional sense substantially co-directional with the scraper bar 1, with the scraper bar 1 maneuvering at the surface level of a liquid tank, to scrape supernatant sludge present on the wall of the liquid tank.

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Furthermore the auxiliary scraper arrangement comprises a substantially elongated extension X1 which is adapted, as shown specifically in fig. 4b, in its second working position II with the scraper bar 1 maneuvering along the bottom of a liquid tank, to scrape, in a position inclined relative to the scraper bar 1, the liquid tank's floor along an inclined surface VP of the wall structure's lower portion.

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The actuators X2 for driving the extension X1 from one working position to another I, II are provided with a self-powered mechanism. As shown e.g. in figs. 4a/4b and 5a/5b, such a self-powered mechanism X2 is provided with the extension X1, which is connected at an articulation N pivotally w to the scraper bar 1, the extension X1, particularly in reference to what is shown in figs. 4a/4b, having a counterweight z coupled therewith on the opposite side of the articulation N

by way of a lever arm y for operating the extension X1 gravitationally on a leverage principle from one working position to another I, II, the scraper bar 1 being, as shown e.g. in figs. 4a or 5a, in a basic 5 position on the surface of the liquid tank or, as shown e.g. in figs. 4b or 5b, upside down on the bottom of the liquid tank. Fig. 6 further illustrates in a side view the operating principle of the above type of extension X1 as the scraper bar 1 progresses 10 along its path in a liquid tank.

In a preferred embodiment, as shown e.g. in figs. 5a and 5b, the extension X1 comprises a thin baffle, 15 blade structure or the like manufactured in a plastic and/or metal material.

In an other preferred embodiment, the inventive auxiliary scraper arrangement is utilized in conjunction with a scraper bar assembly, the scraper 20 bar 1 included therein being preferably assembled from bar members 1a, 1b engageable with each other e.g. in a dismountable manner, such as two first bar members 1a engageable in a dismountable manner, such as by means of a screw connection 3 or the like, with the 25 drive elements 2, such as two parallel transmission chains 2b, and from at least one second bar member 1b coupled therebetween, which comprises an at least partially hollow box structure, such as a fiberglass column or the like, extending with a constant 30 cross-section in a lengthwise direction p of the scraper bar 1. In this type of embodiment, the auxiliary scraper arrangement X in its simplest form, as shown in principle e.g. in fig. 1, is provided on the distal end of either or both first bar members 1a 35 of at least one liquid-surface working and one liquid-tank bottom working scraper bar 1.

In reference to the preferred embodiments shown especially in figs. 4a/4b and 5a/5b, the first bar member 1a is further provided with control elements X3, such as guides and/or guards or the like, for 5 controlling and/or limiting specifically a movement of the counterweight z.

It is obvious that the invention is not limited to the 10 embodiments described or specified above, but it can be modified quite extensively within the basic inventive concept according to varying contemporary requirements. Firstly, as already pointed out above, 15 it is possible to apply the invention also in relation with tanks, which are completely rectilinear regarding the cross-section of side walls and/or rectangular regarding the junction of side walls, in which case the specific purpose can be to maintain a clean settling tank at the top surface water level, whereby, whenever the scraper is in operation at the liquid 20 surface, the auxiliary scraper arrangement of the invention functions has its extension function as an actuator clearing the side walls of e.g. micro-organisms and vegetation or the like. In this type of solution, the extension is preferably 25 provided, whenever necessary, with e.g. bristles and its attitude is adjusted, whenever the scraper is in operation in the bottom of a liquid tank, e.g. at a 90° angle relative to the scraper bar, whereby it shall not be directly involved in any way in the 30 actual scraping process during the time it is on the bottom of the liquid tank.

It is naturally obvious that scrapers used in the 35 scraper assembly can be totally single-piece units of an appropriate manufacturing material. In addition, it is of course possible to assemble the functional components for an auxiliary scraper arrangement of the invention not only from plastics and metal but also

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from a multitude of various materials, such as e.g. carbon fiber, composite materials, or else from ceramic materials. Moreover, most diversified profiles can be used as a chain profile. When using e.g. a type 5 of chain referred to as a so-called bicycle type chain, it is necessary to employ an angle or the like fastened to the back of the chain, which is subjected to moments by the mere attachment of a scraper bar, and therefore, in this context, this is far from the 10 best possible implementation regarding its efficiency and operating reliability.

Claims

1. An auxiliary scraper arrangement, intended for enhancing a scraping process performed by a 5 scraper bar assembly in a liquid tank, such as in a settling tank or the like, reinforced at least in its bottom portion, such as provided with wall structures (sr) having a cross-section which includes one or more sections tapering upwards in a vertical direction (h), 10 the object in this context being firstly the elimination of supernatant matter in the liquid tank by way of a first discharge arrangement (pk), such as a supernatant sludge launder or the like, and secondly the elimination of bottom matter in the liquid tank by 15 way of a second discharge arrangement (pt), such as a bottom sludge pocket (pt) or the like, said scraper bar assembly comprising one or more scraper bars (1) in succession in a longitudinal direction (s) of the liquid tank, which are adapted to be operated by means 20 of drive elements (2), such as one or more transmission chains (2b) or the like driven through the intermediary of a drive wheel and idle wheel assembly (2a) or in a like fashion, the scraper bar (1) being engaged in connection therewith, and said 25 auxiliary scraper arrangement (X) comprising one or more substantially elongated extensions, providable on one or more scraper bars (1) and adapted to enhance a scraping process by being driven in response to actuators to two or more working positions essentially 30 different from each other relative to the scraper bar (1) during its movement in the liquid tank, **characterized** in that actuators (X2) for driving an extension (X1) from one working position to another (I, II) are provided with a self-powered mechanism, 35 whereby on the one hand the extension (X1) is connectable at an articulation point (N) pivotally (w) to the scraper bar (1), and on the other hand the extension (X1) has a counterweight (z) coupled

therewith on the opposite side of the articulation point (N) by way of a lever arm (y) for operating the extension (X1) gravitationally on a leverage principle from one working position to another (I, II), firstly 5 for scraping the supernatant sludge present on the wall of the liquid tank while the scraper bar (1) is in a basic position on the surface of the liquid tank, and secondly for scraping the sludge present on an inclined surface (VP) at the bottom of the liquid tank 10 while the scraper bar (1) is upside down on the bottom of the liquid tank.

2. An auxiliary scraper arrangement as set forth in claim 1, **characterized** in that the extension (X1) 15 comprises a thin baffle, blade structure or the like manufactured in a plastic and/or metal material.

3. An auxiliary scraper arrangement as set forth in claim 1 or 2, engageable with a scraper bar 20 assembly, the scraper bar (1) included therein being assembled from bar members (1a, 1b) engageable with each other most preferably in a dismountable manner, such as two first bar members (1a) engageable in a dismountable manner, such as by means of a screw 25 connection (3) or the like, with the drive elements (2), such as two parallel transmission chains (2b), and from at least one second bar member (1b) coupled therebetween, which comprises an at least partially hollow box structure, such as a fiberglass column or 30 the like, extending with a constant cross-section in a lengthwise direction (p) of the scraper bar (1), **characterized** in that the auxiliary scraper arrangement (X) is providable on the distal end of either or both first bar members (1a) of at least one 35 liquid-surface working and one liquid-tank bottom working scraper bar (1).

4. An auxiliary scraper arrangement as set forth in claim 3, **characterized** in that the first bar member (1a) is provided with control elements (X3), such as guides and/or guards or the like, for controlling and/or limiting a movement of the counterweight (z).